

COI	CATEGORY OF INVESTMENT	CAT CODE	Current Quarter				Year To Date				Previous Year			
			Investment Closing Balance	Income on Investment	Gross Yield	Net Yield	Investment Closing Balance	Income on Investment	Gross Yield	Net Yield	Investment Closing Balance	Income on Investment	Gross Yield	Net Yield
D6.	Infrastructure - Debentures / Bonds / CPs/ loans - (Promoter Group)	IDPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
D7.	Infrastructure - PSU - Debentures / Bonds (Taxable Bonds)	IPDT	108,567.01	-2,711.40	-2.46	-2.46	108,567.01	9,975.98	8.45	8.45	128,455.25	12,733.39	8.82	8.82
D8.	Infrastructure - PSU - CPs	IPCP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
D9.	Infrastructure - Other Corporate Securities - Debentures / Bonds (Taxable Bonds)	ICTD	9,699.48	564.55	4.94	4.94	9,699.48	844.24	7.15	7.15	12,817.20	1,191.56	8.53	8.53
D10.	Infrastructure - Other Corporate Securities - CPs	ICCP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
D11.	Infrastructure - Term Loans (with charge)	ILWC	13,755.91	671.86	4.79	4.79	13,755.91	1,234.14	8.16	8.16	15,671.36	1,403.12	8.16	8.16
D12.	Infrastructure - PSU - Debentures / Bonds (Tax Free Bonds)	IPFD	661,280.49	6,089.39	1.17	1.17	661,280.49	43,444.84	9.56	9.56	489,959.99	18,364.43	10.30	10.30
D13.	Infrastructure - Other Corporate Securities - Debentures / Bonds (Tax Free Bonds)	ICFD	605,098.78	18,994.84	3.60	3.60	605,098.78	46,033.54	10.46	10.46	381,516.29	34,208.77	10.00	10.00
	Total (D)		1,443,604.54	25,241.81	2.05	2.05	1,443,604.54	103,506.54	9.62	9.62	1,051,320.58	71,003.02	9.89	9.89
	Total (C)+(D)		2,098,878.16	59,369.29	3.32	3.32	2,098,878.16	154,013.98	9.92	9.92	1,571,616.25	107,941.45	9.90	9.90

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E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
E1.	PSU - Equity Shares - Quoted	EAEQ	152,126.07	943.34	0.62	0.62	152,126.07	2,274.42	1.98	1.98	65,217.29	33,971.44	72.99	72.99
E2.	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	182,049.91	7,238.87	4.18	4.18	182,049.91	11,667.15	7.33	7.33	128,973.32	22,875.10	18.16	18.16
E3.	Equity Shares - Companies incorporated outside India (invested prior to IRDA Regulations)	EFES	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E4.	Equity Shares (incl. Equity related instruments) - Promoter Group	EEPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E5.	Corporate Securities - Bonds (Taxable)	EPBT	20,452.38	7,715.42	24.67	24.67	20,452.38	9,678.55	28.63	28.63	36,064.84	1,018.30	2.07	2.07
E6.	Corporate Securities - Bonds (Tax Free)	EPBF	308,382.33	9,339.05	3.35	3.35	308,382.33	27,660.73	9.77	9.77	275,385.31	22,113.30	9.83	9.83
E7.	Corporate Securities - Preference Shares	EPNQ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E8.	Corporate Securities - Investment in subsidiaries	ECIS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E9.	Corporate Securities - Debentures	ECOS	975,989.02	29,699.36	3.33	3.33	975,989.02	104,028.72	12.66	12.66	764,503.73	80,394.51	11.44	11.44
E10.	Corporate Securities - Debentures / Bonds/ CPs / Loan - (Promoter Group)	EDPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E11.	Corporate Securities - Derivatives Instruments	ECDI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E12.	Investment properties - Immovable	EINP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E13.	Loans - Policy Loans	ELPL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E14.	Loans - Secured Loans - Mortgage of Property in India (Term Loan)	ELMI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E15.	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E16.	Deposits - Deposit with Scheduled banks, Fis (incl. Bank Balance awaiting investment), CCIL, RBI	ECDB	1,132,500.00	26,308.58	2.46	2.46	1,132,500.00	62,799.38	9.67	9.67	145,000.00	4,204.43	13.70	13.70
E17.	Deposits - CDs with Scheduled Banks	EDCD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2,000.00	0.00	0.00
E18.	Deposits - Repo / Reverse Repo	ECMR	0.00	178.20	0.00	0.00	0.00	407.80	0.00	0.00	0.00	15.53	0.00	0.00
E19.	Deposit with Primary Dealers duly recognised by Reserve Bank of India	EDPD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E20.	CCIL - CBLO	ECBO	4,832.00	1,003.05	3.82	3.82	4,832.00	3,580.29	14.12	14.12	4,937.76	5,057.77	17.85	17.85
E21.	Commercial Papers	ECCP	11,966.22	184.23	1.44	1.44	11,966.22	806.65	12.93	12.93	0.00	0.00	0.00	0.00
E22.	Application Money	ECAM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E23.	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	564,761.21	37,241.69	6.59	6.59	564,761.21	54,193.23	9.60	9.60	584,761.62	50,557.93	9.23	9.23
E24.	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	568,871.85	27,871.70	4.90	4.90	568,871.85	50,545.12	8.89	8.89	568,500.06	43,089.77	14.23	14.23
E25.	Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier 1 & 2 Capital issued by PSU Banks	EUPS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E26.	Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier 1 & 2 Capital issued by Non - PSU Banks	EPSP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E27.	Foreign Debt Securities (invested prior to IRDA Regulations)	EFDS	0.00	0.00			0.00	0.00			0.00	0.00		0.00
E27.	Foreign Debt Securities (invested prior to IRDA Regulations)	EFDS	0.00	0.00			0.00	0.00			0.00	0.00		0.00
E28.	Mutual Funds - Gilt / Gsec / Liquid Schemes	EGMF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E29.	Mutual Funds - (under Insurer's Promoter Group)	EMPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
E30.	Net Current Assets (Only in respect of ULIP Business)	ENCA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Total E		3,921,931.00	147,723.49	3.92	3.92	3,921,931.00	327,642.04	10.15	10.15	2,571,343.93	265,298.07	12.89	12.89

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F.	OTHER THAN APPROVED INVESTMENTS													
F1.	Bonds - PSU - Taxable	OBPT	30,502.96	3,108.89	9.77	9.77	30,502.96	3,109.16	8.82	8.82	37,015.82	3,466.01	7.75	7.75
F2.	Bonds - PSU - Tax Free	OBPF	103.96	2.42	2.33	2.33	103.96	8.92	14.07	14.07	0.00	0.00	0.00	0.00
F3.	Equity Shares (incl Co-op Societies)	OESH	35,134.95	2,002.00	5.15	5.15	35,134.95	3,655.25	9.67	9.67	29,634.15	1,400.79	6.69	6.69
F4.	Equity Shares (PSUs & Unlisted)	OEPU	18,336.26	272.43	1.49	1.49	18,336.26	481.04	3.02	3.02	9,530.04	588.99	12.92	12.92
F5.	Equity Shares (incl. Equity related instruments) - Promoter Group	OEPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F6.	Debentures	OLDB	46,636.36	803.51	1.73	1.73	46,636.36	3,727.37	8.00	8.00	15,636.29	326.28	2.79	2.79
F7.	Debentures / Bonds / CPs / Loans etc. - (Promoter Group)	ODPG	37,000.00	3,219.01	8.70	8.70	37,000.00	3,219.01	8.70	8.70	0.00	0.00	0.00	0.00
F8.	Commercial Papers	OACP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F9.	Preference Shares	OPSH	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F10.	Venture Fund	OVNF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F11.	Short term loans (unsecured deposits)	OSLU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F12.	Term Loans (without charge)	OTLW	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F13.	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F14.	Mutual Funds - (under Insurer's Promoter Group)	OMPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F15.	Derivative Instruments	OCDI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F16.	Securitized Assets	OPSA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
F17.	Investment properties - Immovable	OIPI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Total F		167,714.48	9,408.26	5.45	5.45	167,714.48	14,200.75	8.23	8.23	91,816.29	5,782.08	7.06	7.06
	Total (E) + (F)		4,089,645.48	157,131.76	3.98	3.98	4,089,645.48	341,842.79	10.05	10.05	2,663,160.22	271,080.15	12.66	12.66
	GRAND TOTAL		11,962,730.00	432,643.18	11.03	11.03	11,962,730.00	961,954.94	28.57	28.57	9,400,353.20	757,403.39	9.75	9.75

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

NOTE A. Individual Categories under each of the above Major heads should be listed.

B. In the case of Life Insurance Business, FORM-5 shall be prepared in respect of each fund.

C. Transaction details of all figures appearing in the Adjustment Column should be provided as an Annexure to this Statement.

D. Each sub-total of FORM-5 shall be linked to its corresponding head in PART-A of FORM-3A / FORM-3B.

EXECUTIVE DIRECTOR
(INVESTMENT M&A)